



# ILLINOIS STATE UNIVERSITY

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# Darrell P. Kruger

Interim AVP Research and  
Graduate Studies



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# Research and Sponsored Programs

Intellectual Property and Export  
Control



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# Growth in External Dollars

- FY 2011 - \$30 Million
- 8% ISU budget
- “Outgrown our clothes”
- Need outside advice about Intellectual Property (I.P.), Copyright, and Export Control issues related to growth
- Huron Consulting Group and National Council of University Research Administrators (NCURA)
- Kathy Young, Director of Research



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# ISSUE: Intellectual Property

- GOAL– Refine and Bolster I.P. Policies and Procedures
  1. Commercial potential and processes
  2. Potential Income & Revenue sharing
- Context: Bayh-Dole Act of 1980
- Includes: Having an I.P. and Export Control Officer
- Collaboration with Milner



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# ISSUE: Intellectual Property

- CURRENT STATUS

1. I.P. Committee

Jerry Abner-Marketing & Communications

John Baur-Chemistry

Dallas Long-Milner

Mary Elaine Califf-Info. Tech.

Janet Goucher-RSP

Kathy Spence-RSP

Terri Hammer-Legal

Darrell Kruger-RSP



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# ISSUE: Intellectual Property

2. Drinker Biddle
3. Retain Marsha Rotunda
4. Volume of I.P. (Patent) in last 6 years

David Cedeno—Chemistry

Shawn Hitchcock—Chemistry

Chris Mulligan—Chemistry

David Williams--Biology



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# ISSUE: Intellectual Property

- What is the demand?
- Huron Consulting Group
  1. Conduct In-Depth Interviews with Key Stakeholders
    - AVP Research
    - RSP personnel
    - VP and Provost
    - Selected Faculty
    - Deans
    - Selected Academic Senate Members



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# ISSUE: Intellectual Property

- 2. Conduct ISU IP Policy and Procedure Analysis & Peer Benchmarking (4-5)
  - Ball State University
  - Bowling Green State University
  - Clemson University
  - Miami University (Ohio)
  - University of California-Riverside
  - University of California-Santa Cruz
  - University of North Carolina-Greensboro
  - University of Wisconsin-Milwaukee



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# ISSUE: Intellectual Property

- Pre-Huron Work
    - August-September/October
- AVP meet with Academic Senate, Dan Holland, Deans, and Selected Chairs
- identify key faculty stakeholders



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# Export Control

- “Federal export control laws exist to regulate exports, which are shared outside of the United States. Exports affecting universities include matters such as research, hiring of foreign nationals at the university (including graduate students), and foreign travel.”



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# Export Control

- Charge (March 1, 2011) from Provost Everts and VP Layzell to Export Control Policy Committee
- Develop a draft policy and procedures document by early fall 2011 semester
- Finalize and implement the policy by the end of the 2011 calendar year



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# Export Control

Export Control Policy Committee

Greg Alt, Andrea Ballinger, Rob  
Blemler, Khris Clevenger, Janet  
Goucher, Michael Schmelzle (to be  
replaced), Wendy Smith, Kathy  
Young, and Darrell Kruger



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# Export Control

- Review ISU peer institutions export control policies for key departments:
- HR
- Comptroller's Office
- International Studies
- Research Office



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# Export Control

## Timeline

### Accomplished:

- Committee has a draft policy and procedures document
- Survey drafted



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# Export Control

## Next Steps:

- Pilot survey with key faculty/staff (September)
- AVP check with Deans and Chairs (August/September)
- Finalize Survey (September/October)



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# Export Control

- Policy Document to Academic Senate (October)
- Work on export control website
- Appoint Export Control Officer (?)



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# ENCURA Peer Review

## 1. Core Operations

a. Proposal Services; b. Award Acceptance & Initiation; c. Award Management; d. Project Integrity

## 2. Organizational Structure

a. Organizational Structure;

b. Communication, Outreach, & Education; c. Compliance; d. Electronic Research Administration



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