



ILLINOIS STATE UNIVERSITY

Illinois' first public university

ACADEMIC AFFAIRS BUDGET ESSENTIALS

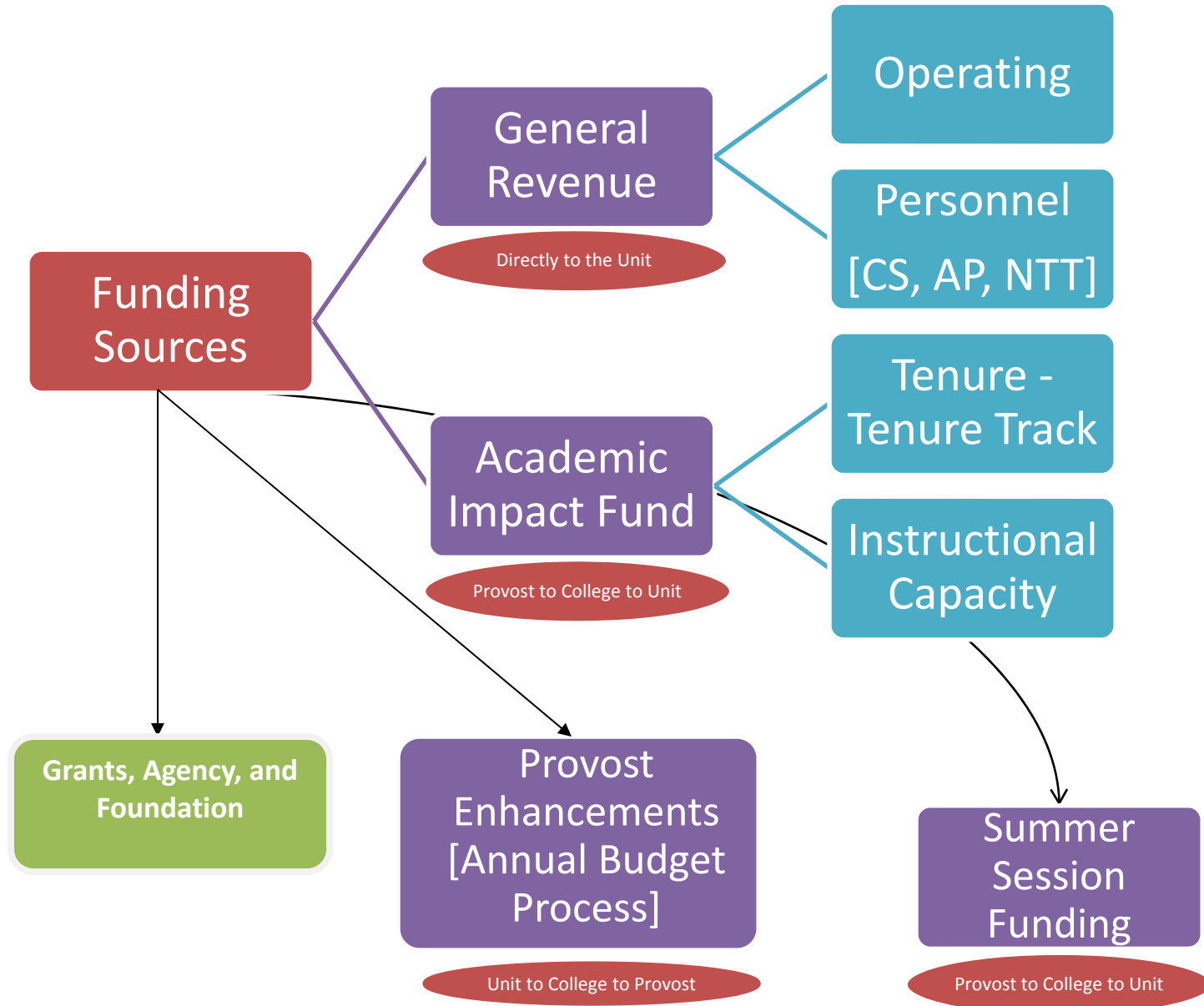


**ILLINOIS STATE
UNIVERSITY**

Illinois' first public university

Academic Affairs Administrators Retreat – August 4, 2011

The Budget Process – Academic Affairs



Academic Impact Fund (AIF)

- The **Academic Impact Fund (AIF)** is the account managed by the Office of the Provost that provides funding for tenure track positions, unused sick leave payouts for tenure track faculty, and supplemental funding for instructional capacity.



ILLINOIS STATE
UNIVERSITY
Illinois' first public university

Academic Impact Fund (AIF)

- Under the AIF guidelines all vacated tenure-track positions due to retirements or resignations are reclaimed back into the AIF as whole dollars, not individual position lines.
- Every position allocated from AIF is a new position. Therefore, there are technically no vacated lines.
- For any non-reappointment, tenure-denial, or death the dollars are maintained in the department/school for two years in which time a new hire must be requested and made; after the two-year window expires the dollars are reclaimed back into the AIF.



ILLINOIS STATE
UNIVERSITY

Illinois' first public university

Academic Impact Fund (AIF)

- The tenure track positions authorized for searching are determined based upon the balance of AIF and a projected number of retirement/resignation reclaims for the calendar year [CY].
- Once the total number has been identified the positions are distributed among the colleges based on student demand, programmatic, and/or workforce needs.
- Although the calendar year [CY] is for reclaiming funds; the number of **new positions** is allocated to colleges in July. For example, Deans were recently provided their number of ***new*** and ***re-authorized positions*** which will be searched in FY12 for FY13 hiring dates.



ILLINOIS STATE
UNIVERSITY

Illinois' first public university

Academic Impact Fund (AIF)

- FY12 Searches ~ FY13 Hires

College	New Positions	Reauthorized Positions	TOTAL Positions
CAS	16	2	18
CAST	7	1	8
CFA	4	1	5
COB	5	2	7
COE	8	0	8
MCN	4	1	5
MIL	2	0	2
TOTAL	46	7	53



**ILLINOIS STATE
UNIVERSITY**

Illinois' first public university

Instructional Capacity

- **Instructional capacity (IC)** – is the funding provided to the Colleges to support non-tenure track faculty.
- It is the temporary dollars in the AIF as retirements and resignations are reclaimed. Deans are informed of their instructional capacity for the upcoming academic year in February.



ILLINOIS STATE
UNIVERSITY

Illinois' first public university

Instructional Capacity

- As new information such as additional positions received into the fund that may exceed anticipated targets and/or offers for upcoming FY hires are finalized, the temporary dollars in the fund may increase [or decrease if targets are less than what was forecasted].
- The Provost is committed to not reducing IC, but may provide a supplement when the fund allows.
- **Goal** – Net gain for TT positions while providing adequate IC to support NTT needs.



ILLINOIS STATE
UNIVERSITY

Illinois' first public university

Instructional Capacity

FY12 Allocations

College	FY12 Instructional Capacity Base Allocation	FY12 Instructional Capacity Supplement	FY12 Instructional Capacity Supplement (5.04.11 memo)	FY12 TOTAL Instructional Capacity
CAS	\$1,614,461	\$100,575	\$191,092	\$1,906,128
CAST	\$539,179	\$52,519	\$99,787	\$691,485
COB	\$278,053	\$26,055	\$49,504	\$353,612
CFA	\$223,388	\$18,909	\$35,928	\$278,225
COE	\$452,529	\$51,942	\$98,690	\$603,161
MIL	\$200,000	\$0	\$0	\$200,000
TOTAL	\$3,307,610	\$250,000	\$475,001	\$4,032,611

Menonite College of Nursing has been made exempt from eligibility for instructional capacity based upon an agreement that has provided a solution for their fixed enrollment requirements.

Add'l Gen Ed Funding = \$650,000 + additional \$ TBD to address larger freshman class



**ILLINOIS STATE
UNIVERSITY**

Illinois' first public university

Summer Session Allocations

- For the last two years and continuing into FY12; Summer Session funding has been a combination of permanent dollars allocated by the President in 2007 plus additional funds from Finance and Planning to offset those Summer courses that potentially would have been lost with the disestablishment of Extended University and Flex Funding.
- Summer Session allocations have continued to increase over the last 3 years.



Summer Session Allocations

FY12 Allocations

College	FY12 Base Allocation	FY12 Supplement	TOTAL FY 12 Summer Allocation
CAS	\$552,204	\$268,580	\$820,784
CAST	\$338,213	\$160,810	\$499,023
CFA	\$62,688	\$29,673	\$93,362
COB	\$272,132	\$117,531	\$389,663
COE	\$412,107	\$181,561	\$593,668
MCN	\$84,513	N/A	\$84,513
MIL	\$15,000	N/A	\$15,000
TOTAL	\$1,736,857	\$758,425	\$2,495,282

- A limited amount of funds is retained centrally to address targeted needs identified during the academic year.



**ILLINOIS STATE
UNIVERSITY**
Illinois' first public university

Provost Enhancement Funds

- Each year a limited amount of Provost Enhancement funds are made available by reallocating from the Provost's own operating budget to support various projects among the colleges/units.
- During the past several years, permanent funds have not been available. However, many initiatives have been supported through these temporary dollars.
- Careful management within the Provost's Office has enabled the distribution of available funds to increase during recent years; a reality not many of our peers have enjoyed.



ILLINOIS STATE
UNIVERSITY

Illinois' first public university

Provost Enhancement Funds

- This year [FY12] over \$680,000 have been allocated to various units within Academic Affairs to support efforts to enhance the academic experience for students and faculty.
- These allocations were derived from the various requests made through the annual budget process.
- An additional \$375,000 have been reserved for special Provost Enhancement Initiatives that are being developed and will be announced in the near future.



Strategic Operating Budget Enhancement – FY12

- Academic Affairs has been provided a *permanent* 2% increase based upon the appropriated operating funds.
- These funds will allow Deans and AVP's to strategically address the varied operating needs of individual units.
- It is not designed to be a 2% blanket increase for every unit; but instead the dollars will allow Deans and AVP's to address specific deficiencies.
- We are working to provide Deans and AVP's with various data points to use in their discussions with Provost Everts as we work to make the final allocations.



ILLINOIS STATE
UNIVERSITY

Illinois' first public university

Contract & Full Cost Recovery Courses

- The purpose of Contract and Full Cost Recovery [FCR] Courses is to provide academic units the ability to deliver courses to address the needs of a specific population of students who would not otherwise enroll at Illinois State University.
- Contract Courses are reserved for courses associated with grants or those facilitated through an external agency.
- FCR courses [*new*] provide units the opportunity to offer courses to a unique population of students without partnering with an agency.



ILLINOIS STATE
UNIVERSITY

Illinois' first public university

Contract and Full Cost Recovery Courses

- In an effort for us to establish and maintain these alternative funding models; specific guidelines have been established.
- Please consult the Office of the Provost website and the FCR/Contract Courses tab for details; and/or contact Mandy Chapman who coordinates this effort for our office.



**ILLINOIS STATE
UNIVERSITY**
Illinois' first public university

Budgeted Carryover – FY13

- Beginning in FY13 units will be able to initiate more strategic budgeting practices as *Budgeted Carryover* will be introduced for GR funds.
- The primary objective for *Budgeted Carryover* is to provide units with the flexibility to budget for their own enhancements.
- For example, a costly piece of lab equipment in the past required multiple parties to support its purchase in one fiscal year. *Budgeted Carryover* will allow units to identify dollars they plan to *carryover* annually for limited [TBD] years to enable purchase of the item locally.
- It is not a rollover of spare change.



ILLINOIS STATE
UNIVERSITY

Illinois' first public university

Budgeted Carryover – FY13

Timeline

- Academic Affairs has been asked to establish the initial guidelines
- October 15, 2011 *targeted* release date
- Similar to the Enhancement Process we currently have as part of the Annual Budget documents
- Budgeted Carryover requests will be submitted with Annual Budget documents
- The Annual Budget Presentations have been moved to April 3-4, 2012; extended submission dates for materials will be published soon



**ILLINOIS STATE
UNIVERSITY**
Illinois' first public university

ACADEMIC AFFAIRS BUDGET ESSENTIALS



**ILLINOIS STATE
UNIVERSITY**

Illinois' first public university

Academic Affairs Administrators Retreat – August 4, 2011